



Gary Tagtmeier

Providing objective, trustworthy, expert guidance for financial success and empowerment.

Gary Tagtmeier’s Fiduciary Oath Agreement for Financial Advisers

Due to the numerous conflicts of interest in the personal financial advisory business, Gary suggests that those who work with a financial adviser should have the adviser sign the agreement below. This insures that the adviser will do what’s in the client’s best interest and not what’s in the best interest of the adviser. Please see Gary’s article titled **“Is Your Financial Planner Getting Rich at Your Expense?”** This article explains why this agreement is so important.

Fiduciary Oath Agreement

The adviser below agrees to exercise his/her best efforts to act in good faith and in my/our (client’s) best interest. The adviser shall provide written disclosure of all sources of income generated for him/her as a result of my/our investments or actions taken based upon the adviser’s recommendations. Throughout the term of our relationship, the adviser agrees to give written disclosure of any conflicts of interest which may or will affect the objectivity and independence of the adviser’s recommendations. The adviser agrees that he/she or any entity that the adviser has a financial interest in shall not receive any compensation or remuneration that is contingent upon my /our purchase or sale of a financial or insurance product as this creates a conflict of interest.

Adviser

Date

Client

Date

Client

Date